

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 01-1736-B)

In re Application of)

Roy Hom et al)

Serial No. 09/960,634)

Filed: September 21, 2001)

For: Compounds and Methods to)
Treat Alzheimer's Disease)

Before the Examiner:)
not assigned)

Art. Unit: 1624)

Commissioner for Patents
Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED
02/12/02

**RESPONSE TO THE NOTICE TO FILE
MISSING PARTS OF APPLICATION
MAILED NOVEMBER 14, 2001**


BOX MISSING PARTS
Assistant Commissioner for Patent
Washington, D.C. 20231

Sir:

In response to the notice mailed November 14, 2001, enclosed please find a Declaration and Power of Attorney and a Petition for One Month Extension of Time. Also, enclosed please find a check in the amount of \$5,030.00.

Respectfully submitted,
McDONNELL BOEHNEN
HULBERT & BERGHOFF

Dated: January 15, 2002


Steven J. Sarussi
Reg. No. 32,784

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 01-1736-B)

APR 01 2002

In re Application of:

Roy Hom et al.

Serial No. 09/960,634

Filed: September 21, 2001

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Art Unit: 1624

Commissioner for Patents
Washington, D.C. 20231
Facsimile No 703 746-4060

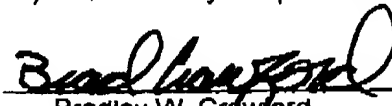
Sir:

FACSIMILE TRANSMITTAL LETTER

In regard to the above identified application:

- 1 We are transmitting herewith the attached.
 - a) Copy Request for Substitute Papers
 - b) Copy Declaration and Power of Attorney
 - c) Copy Response to File Missing Parts
 - d) Copy Notice to File Missing Parts of Non-Provisional Application
 - e) Copy One Month Extension of Time
 - f) Copy Postcard
2. Please charge any additional fees or credit overpayment to Deposit Account No 13-2490.
3. CERTIFICATE OF TRANSMISSION UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being facsimile transmitted to the Patent and Trademark Office (Fax No. (703) 746-4060) on this 1st day of April 2002.

By


Bradley W. Crawford
Reg. No 50,494



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

OIPF
APR 01 2002

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/960,634	09/21/2001	Hom, Roy	01-1736-B

23552

MERCHANT & GOULD PC

P O. BOX 2903

MINNEAPOLIS MN 55402-0903

DOCKETED

APR 1 2002
DUE DATE 4-1-02
BY: [Signature]

Title: Compounds to treat Alzheimer's disease

Date Mailed 03/18/2002

Request for Substitute Papers

The papers filed on 02/12/02 (certificate of mailing dated 01/15/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers

Name: Brad Crawford Registration No. 50,494Signature: Brad Crawford Date: 4-1-02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 01-1736-B)

In re Application of:

Roy Hom et al.

Serial No. 09/960,634

Filed: September 21, 2001

For: Compounds and Methods to
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Washington, D.C. 20231

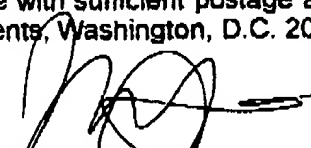
Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:
 - a. Declaration and Power of Attorney;
 - b. Response to File Missing Parts;
 - c. Copy Notice to File Missing Parts of Non Provisional Application;
 - d. One Month Extension of Time; and
 - e. Postcard.
2. With respect to additional fees:
 - A. Attached is a check in the amount of \$5,030.00.
 - B. Charge the total additional fee to our Deposit Account No. 132490.
A duplicate copy of this sheet is enclosed.
3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490.
A duplicate copy of this sheet is enclosed.
4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 herein-above, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 15th day of January 2002.

By :


Steven J. Sarussi
Reg. No. 32,784McDONNELL BOEHNEN
HULBERT & BERGHOFF
300 SOUTH WACKER DRIVE
CHICAGO, ILLINOIS 60606
TELEPHONE (312) 813-0001OIFE
APR 01 2002

COPY OF PAPERS

ORIGINAL

02/12/02

Apr-01-02 10:20 From-MBHB

3129132120

T-161 P.09/09 F-584



Hon Commissioner of
Patents and Trademarks

S/N 09/960,634

Atty SJS

Re. Applicant - Hom et al

Case No 01-1736-B

Compounds And Methods To Treat Alzheimer's Disease

Sir

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of

- ☒ Transmittal Letter
- ☒ Response to File Missing Parts
- ☒ Signed Declaration
- ☒ One Month Extension of Time
- ☒ Filing Fee Check
- ☐ Assignment
- ☒ Other Copy Notice to File Missing Parts Non Provisional Appln. and Postcard

Fee Enclosed

\$ 5,030.00
Mailed January 15, 2002

Respectfully,
McDonnell Boehnen Hulbert & Berghoff
Attorney for Applicant

APR 01 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,634	09/21/2001	Roy Horn	13615.1US11

23552
MERCHANT & GOULD PC
P.O. BOX 2903
MINNEAPOLIS, MN 55402-0903

COPY OF PAPERS
ORIGINALLY FILED

02/22/02

CONFIRMATION NO. 4655

FORMALITIES LETTER



OC000000007062737

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

02/15/2002 SZE

02 FC:101
03 FC:105
04 FC:102
05 FC:103
06 FC:104

- The statutory basic filing fee is missing.

Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).

- Total additional claim fee(s) for this application is \$4080.

- \$3330 for 185 total claims over 20.
- \$480 for 6 independent claims over 3.
- \$270 for multiple dependent claim surcharge.

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4920.

A copy of this notice **MUST** be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/15/2002 SZEWDIE1 00000085 09960634

01 FC:115 110.00 OP.